

Projekt współpracy rozwojowej nr 19/2013 - *Wsparcie i udoskonalenie rynku finansowego*
Republiki Mołdawii

Development Co-operation Project No 19/2013 - *Supporting and Improving the Moldavian*
Financial Market.

ACTIVITY FICHE NO 5

1. General information on the Activity

Component	
No	II
Title	Institutional development of the National Commission for Financial Markets and subordinated actors.
Activity/Activities	
No	8
Title	<p>Verification of the current internal procedures, legal bases, human resources and the remaining issues with the aim to introduce the assessment and risk management based supervision for banking sector in regard to on – site inspections carried out in the banks:</p> <ul style="list-style-type: none"> - Analysis of current conditionings of the scope and responsibilities of the National Commission for Financial Markets in regard to banking supervision i.e. on – site inspection, taking into consideration „Core Principles for Effective Banking Supervision” of the Basel Committee for banking supervision, excluding prudential regulations. Prudential regulations will be covered within activity no 1 in the Project. - Analysis of organizational solutions in force and instruments used in regard to on – site inspections carried out in banks, including inspections planning, methodology of banks inspections, internal reporting and information management. - Analysis of banks inspection process executed by the National Commission for Financial Markets.
Implementation period	18 – 20 June 2013
Results	Report including the assessment of the current situation of the supervision together with recommendations concerning further actions aiming at introducing assessment and risk management based supervision in the scope of given above issues.
Method	Consultations and analysis in the field of given above issues within 3 days experts mission based on information and documents provided by the Project Beneficiary.
Output indicators	1 report and app. 10 representatives from the Moldovan administration with improved knowledge in the area of issues listed above.

2. Proposed short term expert(s) from the Polish Financial Supervision Authority

No	Name and surname	Institution / Unit / Position	Contact data (e-mail, phone)
1	Krzysztof Góral	The Polish FSA, Department of Inspections for Banks and Payment Institutions, deputy director	krzysztof.goral@knf.gov.pl ; 48 22 262 4647
2	Grazyna Szwajkowska	The Polish FSA, Department of Inspections for Banks and Payment Institutions, head of unit	grazyna.szwajkowska@knf.gov.pl ; 48 22 262 4621
3	Leszek Kryszkiewicz	The Polish FSA, Department of Inspections for Banks and Payment Institutions, chief specialist	leszek.kryszkiewicz@knf.gov.pl ; 48 22 262 4606

3. List of expert mission participants representing the Project Beneficiary (State Chancellery of the Republic of Moldova)


No	Name and Surname	Unit / Department / Position	Expert mission / training expectations
1.	Bivol Irina	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	
2.	Cerba Pavel	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	
3.	Draganel Valentin	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	
4.	Cazacu Maxim	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	

4. List of expert mission participants representing other financial market institutions in Moldova (if relevant)

No	Name and Surname	Institution / Unit / Position	Expert mission/training expectations (per a person or per a group)
1.	Natalia Sirbu	National Bank of Moldova, Head of the Legal Department	<ul style="list-style-type: none"> • Familiarity with EU practices • Practical application of risk-based supervision
2.	Corina Turcan	National Bank of Moldova, Legal Department	
3.	Andrei Velicev	National Bank of Moldova, Legal Department	
4.	Natalia Rusu	National Bank of Moldova, Banking Regulation and Supervision Department	
5.	Natalia Harea	National Bank of Moldova, Banking Regulation and Supervision Department	
6.	Iurii Stavila	National Bank of Moldova, Banking Regulation and Supervision Department	
7.	Veaceslav Mamaliga	Ministry of Finance, Adviser to the Minister	
8.	Veronica Ursu	Ministry of Finance, Head of Monitoring and Financial Analysis Directorate	
9.	Gnatiuc Alexandru	National Commission for Financial Markets/Deputy Director, General Directorate for Securities Monitoring	
10.	Onceanu Cornelia	National Commission for Financial Markets/Chief, General Directorate for	

11.	Lazăr Marcel	Securities Monitoring National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring
12.	Onofras Andrei	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring
13.	Bîrsanu Constantin	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring
14.	Andronachi Diana	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring
15.	Nazaria Radu	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring
16.	Ivanov Oxana	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring
17.	Suhostavschi- Mocrac Iana	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring
18.	Maciac Felicia	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring
19.	Alina Cibotariov	National Commission for Financial Markets/Director, Directorate on Collective placements and Microfinancing
20.	Raisa Andronic	National Commission for Financial Markets/Deputy Director, Directorate on Collective placements and Microfinancing

5. Approval of the Activity Fiche

Beneficiary State Chancellery of the Republic of Moldova
10. 06. 2013  date and signature of the Moldavian Project Leader Mr. Dumitru Alaiba

Member State Polish Financial Supervision Authority	Member State Polish Financial Supervision Authority
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10.6.2013

Michał Kruszk
Michał Kruszk

date and signature of the
Polish Deputy Director of the Analyses and
International Cooperation Department
Mr. Michał Kruszk

ON BEHALF OF PROJECT LEADER

10/06/2013 *Joanna Lipowczan*

date and signature of the
MS Project Leader
Ms. Joanna Lipowczan