

ACTIVITY FICHE NO 4

1. General information on the Activity

Component	
No	II
Title	Institutional development of the National Commission for Financial Markets and subordinated actors.
Activity/Activities	
No	7
Title	Verification of the current internal procedures, legal bases, human resources and the remaining issues with the aim to introduce the assessment and risk management based supervision for capital sector: - Verification of legal frameworks of investment funds functioning in Moldova. - Comparison of current legal regulations with EU law incl. UCITS Directive, taking into consideration in particular risk management - Analysis of opportunities of assessment and risk based supervision implementation.
Implementation period	25 -27 June 2013
Results	Report including the assessment of the current situation of the supervision together with recommendations concerning further actions aiming at introducing assessment and risk management based supervision.
Method	Consultations and analysis in the field of given above issues within 3 days experts mission based on information and documents provided by the Project Beneficiary.
Output indicators	1 report and app. 15 representatives from the Moldovan administration with improved knowledge in the area of issues listed above.

2. Proposed short term expert(s) from the Polish Financial Supervision Authority

No	Name and surname	Institution / Unit / Position	Contact data (e-mail, phone)
1	Marcin Malinowski	The Polish FSA, Investment Funds Department, senior specialist	Marcin.malinowski@knf.gov.pl ; 48 22 262 5065
2	Łukasz Kochanowski	The Polish FSA, Investment Funds Department, specialist	lukasz.kochanowski@knf.gov.pl ; 48 22 262 5034

3. List of expert mission participants representing the Project Beneficiary (State Chancellery of the Republic of Moldova)

No	Name and Surname	Unit / Department / Position	Expert mission / training expectations
1.	Cerba Pavel	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	
2.	Nicolaescu Victor	State Chancellery/General Department for Policy Coordination, Foreign	


		Assistance and CPAR/Senior Consultant	
3.	Bivol Irina	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	

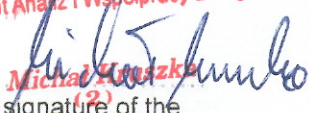
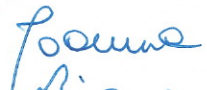
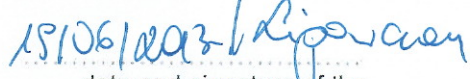
4. List of expert mission participants representing other financial market institutions in Moldova (if relevant)

No	Name and Surname	Institution / Unit / Position	Expert mission/training expectations (per a person or per a group)
1.	Veaceslav Mamaliga	Ministry of Finance, Adviser to the Minister	<ul style="list-style-type: none"> • Familiarity with EU practices • Practical application of risk-based supervision
2.	Veronica Ursu	Ministry of Finance, Head of Monitoring and Financial Analysis Directorate	
3.	Obreja Cristina	Ministry of Justice, Direction of Control and Internal Audit	
4.	Chirilenco Ecaterina	Ministry of Justice, Center of legislative harmonization	
5.	Andronic Natalia	Ministry of Justice, Center of legislative harmonization	
6.	Raisa Andronic	National Commission for Financial Markets/Deputy Director, Directorate on Collective placements and Microfinancing	
7.	Alina Cibotariov	National Commission for Financial Markets/Director, Directorate on Collective placements and Microfinancing	
8.	Maciac Felicia	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring	
9.	Suhostavski- Mocrac Iana	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring	
10.	Ivanov Oxana	National Commission for Financial Markets/Specialists, General Directorate for Securities Monitoring	
11.	Nazaria Radu	National Commission for Financial	

		Markets/Inspector, General Directorate for Securities Monitoring	
12.	Andronachi Diana	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
13.	Birsanu Constantin	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
14.	Onofras Andrei	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
15.	Lazăr Marcel	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
16.	Onceanu Cornelia	National Commission for Financial Markets/Chief, General Directorate for Securities Monitoring	
17.	Gnatiuc Alexandru	National Commission for Financial Markets/Deputy Director, General Directorate for Securities Monitoring	
18.	Tcaci Andrei	National Bank of Moldova, Banking Regulation and Supervision Department	

5. Approval of the Activity Fiche

Beneficiary State Chancellery of the Republic of Moldova
 18. 06. 2013 date and signature of the Moldavian Project Leader Mr. Dumitru Alaiba

Member State Polish Financial Supervision Authority	Member State Polish Financial Supervision Authority
<p style="text-align: center;">ZASTĘPCA DYREKTORA Departament Analiz i Współpracy z Zagranicą</p> <p>19.06.2013 </p> <p>date and signature of the Polish Deputy Director of the Analyses and International Cooperation Department Mr. Michał Kruszka</p>	<p style="text-align: center;"></p> <p>19/06/2013 </p> <p>date and signature of the MS Project Leader Ms. Joanna Lipowczan</p>