

ACTIVITY FICHE NO 7

1. General information on the Activity

Component	
No	II
Title	Institutional development of the National Commission for Financial Markets and subordinated actors.
Activity	
No	12
Title	<p>- Recommendations for NCFM concerning inspections in banking supervision. Preparation of recommendations for NCFM and Central Bank of Moldova in regard to inspection conduct in banks in the field of:</p> <ul style="list-style-type: none"> - I. corporate governance, including audit and compliance; - II. Operational risk; - III. Anty-money laundering in regard to: <ul style="list-style-type: none"> a) Legal and organizational solutions enabling supervision based on banks' risk evaluation; b) Identification methods and risk evaluation in banks, including system importance; c) Inspection organization and planning; d) Usage of supporting tools for inspection process.
Implementation period	3 – 5 September 2013
Results	Report - drawing up recommendations for the supervisory authority in terms of inspections in banking supervision in the area of issues listed above.
Method	Consultations and analysis in the field of given above issues within 3 days experts mission based on information and documents provided by the Project Beneficiary.
Output indicators	1 report and app. 12 representatives from the Moldovan administration with improved knowledge in the area of issues listed above.

2. Proposed short term expert(s) from the Polish Financial Supervision Authority

No	Name and surname	Institution / Unit / Position	Contact data (e-mail, phone)
1	Tomasz Dębowski	The Polish FSA, Department of Inspections for Banks, Payment Institutions and Credit Unions, Head of Unit	tomasz.debowski@knf.gov.pl ; tel. +48 22 262 43 64
2	Grażyna Szwajkowska	The Polish FSA, Department of Inspections for Banks, Payment Institutions and Credit Unions, Head of Unit	grazyna.szwajkowska@knf.gov.pl ; tel. +48 22 262 46 21
3	Radosław Obczyński	The Polish FSA, Department of Inspections for Banks, Payment Institutions and Credit Unions, Chief Specialist	radoslaw.obczynski@knf.gov.pl ; tel. +48 22 262 50 47

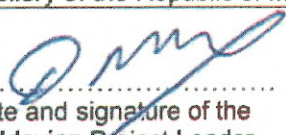
3. List of expert mission participants representing the Project Beneficiary (State Chancellery of the Republic of Moldova)

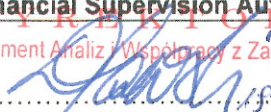

No	Name and Surname	Unit / Department / Position	Expert mission / training expectations
1.	Draganel Valentin	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	N/A
2.	Cerba Pavel	State Chancellery/General Department for Policy Coordination, Foreign Assistance and CPAR/Senior Consultant	

4. List of expert mission participants representing other financial market institutions from administration in Moldova (if relevant)

No	Name and Surname	Institution / Unit / Position	Expert mission/training expectations (per a person or per a group)
1.	Ursu Veronica	Ministry of Finance/Head of Monitoring and Financial Analysis Department	<ul style="list-style-type: none"> Polish experience concerning inspections in banking supervision
2.	Matveeva Elena	Ministry of Finance/Head of Public Dept Department	
3. ✓	Stanciuc Ana	Ministry of Finance/Monitoring and Financial Analysis Department/Consultant	
4.	Gnatiuc Alexandru	National Commission for Financial Markets/Deputy Director, General Directorate for Securities Monitoring	
5.	Onceanu Cornelia	National Commission for Financial Markets/Chief, General Directorate for Securities Monitoring	
6.	Lazăr Marcel	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
7.	Onofraș Andrei	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
8. ✓	Bîrsanu Constantin	National Commission for Financial Markets/Inspector, General Directorate for Securities Monitoring	
9.	Postoronca Olga	National Bank of Moldova/ Banking Regulation and Supervision Department	
10.	Teaca Sergiu	National Bank of Moldova/ Banking Regulation and Supervision Department	
11.	Tcaci Andrei	National Bank of Moldova/ Banking Regulation and Supervision Department	
12.	Rusu Natalia	National Bank of Moldova/ Banking Regulation and Supervision Department	
13.	Covganet Marina	National Bank of Moldova/ Banking Regulation and Supervision Department	

5. Approval of the Activity Fiche

Beneficiary State Chancellery of the Republic of Moldova
 date and signature of the Moldavian Project Leader Mr. Dumitru Alaiba

Member State Polish Financial Supervision Authority	Member State Polish Financial Supervision Authority
<p>DIREKTOR Departament Analiz Współpracy z Zagranicą</p> <p> date and signature of the Polish Deputy Director of the Analyses and International Cooperation Department Mr. Michał Kruszka</p>	<p>15/08/2013. </p> <p>..... date and signature of the MS Project Leader Ms. Joanna Lipowczan</p>